°FORM PTO-1390 OFFICE (REV 11-2000)

TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371

TMENT OF COMMERCE PATENT AND TRADEMARK

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449122020500

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/049183

Not yet assigned

August 10,1999

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/DE00/02643

August 8, 2000

TITLE OF INVENTION

METHOD AND APPARATUS FOR INCREASING THE RESISTANCE TO FAILURE OF INFORMATION CENTERS CONNECTED TO EXCHANGES								
AF	PLICA	NT(S) FOR DO/EO/US Roland BRUNNER et al.						
Ap	plicant	herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:						
1.	X	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.						
2.		This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.						
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (2' indicated below.						
4.	×	The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).						
5.	×	A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
	a.	is attached hereto (required only if not communicated by the International Bureau).						
	b.	has been communicated by the International Bureau.						
	c.	is not required, as the application was filed in the United States Receiving Office (RO/US).						
6.	×	An English language translation of the International Application under PCT Article 19 (35 U.S.C. 371(c)(2)).						
	a.	is attached hereto.						
	b.	has been previously submitted under 35 U.S.C. 154(d)(4).						
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).						
	a.	are attached hereto (required only if not communicated by the International Bureau).						
	b.	have been communicated by the International Bureau.						
	c.	have not been made; however, the time limit for making such amendments has NOT expired.						
	d.	have not been made and will not be made.						
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9.	×	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10.		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
Ite	ms 11.	to 16. below concern document(s) or information included:						
11.	×	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.						
12.	×	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13.	×	A FIRST preliminary amendment.						
14.		A SECOND or SUBSEQUENT preliminary amendment.						
15.		A substitute specification.						
16		A change of power of attorney and/or address letter.						
17		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.						
18		A second copy of the published international application under 35 U.S.C. 154(d)(4).						
19		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						
20.	×	Other items or information: 1) Application Data Sheet; 2)Int'l Search Report; 3) Return receipt postcard.						
here		tify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on February 8, Melissa Garton						

U.S. APPLICATION NO. (if known,	see 37 CFR	INTERNATION	AL APPLICATION O.	ATTORNEY DO	OCKET NO.	
Not yet assigned	0/049183	PCT/DE00	/02643	449122020)500	
21. The following fe	1. The following fees are submitted:					
BASIC NATIONAL	BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):					
	Neither international preliminary examination fee (37 CFR 1.482)					
	nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1,000.00					
International preliming USPTO but Internation						
International preliming but international searce						
	International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provision of PCT Article 33(1)-(4)\$690.00					
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00						
	ENTER APPROPRIATE BASIC FEE AMOUNT =					
	Surcharge of \$130.00 for furnishing the oath or declaration later than □ 20 □ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total claims	- 20 =		x \$18.00	\$0		
Independent claims	Independent claims - 3 = x \$80.00					
MULTIPLE DEPEN	\$0					
	\$890.00					
☐ Applicant claims smal by ½.	\$0					
	\$890.00					
Processing fee of \$13 ☐ 20 ☐ 30 months fr	\$0					
	\$890.00					
Fee for recording the accompanied by an ap	\$40.00					
	\$930.00					
					\$	
	to be					
				refunded:	6	
				charged:	\$	

- a.

 Please charge my <u>Deposit Account No. 03-1952</u> (referencing Docket No. 449122020500) in the amount of \$930.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- b. En The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to **Deposit Account No. 03-1952** (referencing Docket No. 449122020500).

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Kevin R. Spivak Morrison & Foerster LLP 2000 Pennsylvania Avenue, N.W. Washington, D.C. 20006-1888

Kevin R. Spivak Registration No. 43,148

February 8, 2002